PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

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Application Number	10/823,089-Conf. #3168
Filing Date	April 12, 2004
First Named Inventor	Signe E. Varner
Art Unit	3761
Examiner Name	Not Yet Assigned
Attorney Docket Number	56086CON2(71699)

	ENCLOSURES (Check all that apply)					
x Fee Transi	mittal Form	Drawing(s)		After Allowance Communication to TC		
X Fee	Attached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendme	nt/Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After	Final	Petition to Convert to a Provisional Application		Proprietary Information		
Affid	avits/declaration(s)	Power of Attorney, Revocation Change of Correspondence		Status Letter		
x Extension	of Time Request	Terminal Disclaimer		X Other Enclosure(s) (please Identify below):		
Express Al	bandonment Request	Request for Refund		Filing Fee for the Application (as shown on accompanying Fee		
x Information	n Disclosure Statement	CD, Number of CD(s)		Transmittal) Part 2 Copy of Notice		
Certified C	opy of Priority (s)	Landscape Table on	CD	Return Receipt Postcard		
	lissing Parts/ Application	Remarks		LANCE TO A CONTRACT OF THE CON		
	y to Missing Parts under FR 1.52 or 1.53					
	SIGNAT	URE OF APPLICANT, ATTOR	RNEY, OR	AGENT		
Firm Name	Name FDWARDS & ANGELL LLP					
Signature	Signature					
Printed name	Peter F. Corless					
Date	November 24, 2004 Reg. No. 33,860					

I have been all the state of th	in haire described with the U.C. Dantal Cando	Fun M-0 Airbill No. FV(427024E70LIC
i nereby certify that this correspondence	is being deposited with the U.S. Postal Service	e as Express Mail, Airbill No. EV437821570US,
	g Parts, Commissioner for Hatents, P.O. Box	1450, Alexandria, VA 22313-1450, on the date
shown below.		
Dated: November 24, 2004	Signature:	(Peter F. Corless)

PTO/SB/17 (10-04v2)
Approved for use through 7/31/2006. OMB 0651-0032
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Effective 10/01/2004. Patent fees are subject to annual revision.

X Applicant claims small entity status. See 37 CFR 1.27

TOTA	L AMOUNT OF PAYMENT	(\$)	986.00

respond to a collection of inform	lation unless it displays a valid OMB control number.
Co	mplete if Known
Application Number	10/823,089-Conf. #3168
Filing Date	April 12, 2004
First Named Inventor	Signe E. Varner
Examiner Name	Not Yet Assigned
Art Unit	3761
Attorney Docket No.	56086CON2(71699)

ME	THOD (	)F P/	AYMENT (chec	k all that a	apply)	FEE CALCULATION (continued)					
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Deposit Account			04-1105			Fee	Fee	Fee	Fee	- Fee Description	
Number					_	Code	(\$)	Code	(\$)	ree bescription	Fee Paid
Deposit Account	E	dwa	ds & Angell,	LLP		1051	130	2051	65	Surcharge – late filing fee or oath	65.00
Name The Direct			to: (check all that			1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet.	
X Charg	e fee(s) in	dicated	below X	Credit any o	overpayments	1053	130	1053	130	Non-English specification	
X Charg	e any add	tional f	ee(s) or any underp	ayment of	fee(s)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Chang			below, except for deposit account.	the filing f	99	1804	920*	1804	920°	Requesting publication of SIR prior to Examiner action	
						1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
		FEE	CALCULATIO	N		1251	110	2251	55	Extension for reply within first month	
1. BASIC	FILING	FEE				1252	430	2252	215	Extension for reply within second month	
Large Entit	y Smal	Entity	,			1253	980	2253	490	Extension for reply within third month	490.00
Fee Fe		Fee (\$)	Fee Descr	ption	Fee Paid	1254	1,530	2254	765	Extension for reply within fourth month	
1001 79		395	Utility filing	fee	395.00	1255	2,080	2255	1,040	Extension for reply within fifth month	
1002 35	2002	175	Design filing	j fee		1401	340	2401	170	Notice of Appeal	
1003 55	2003	275	Plant filing f	ee		1402	340	2402	170	Filing a brief in support of an appeal	
1004 79	2004	395	Reissue filir	ng fee		1403	300	2403	150	Request for oral hearing	
1005 16	2005	80	Provisional	filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	•	CLID	TOTAL (4)	(C)	205.00	1452	110	2452	55	Petition to revive - unavoidable	
		300	TOTAL (1)	(\$)	395.00	1453	1,370	2453	685	Petition to revive - unintentional	
2. EXTR	A CLAIN	/ FEE	S FOR UTILI	TY AND	REISSUE	1501	1,370	2501	685	Utility issue fee (or reissue)	
				e from elow	Fee Paid	1502	490	2502	245	Design Issue fee	
Total Claims	24	-20**	= 4 x 9	= 00.6	36.00	1503	660	2503	330	Plant issue fee	
Independen Claims	3	-3**	= x	=	0.00	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dep	endent			=		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entit	y Small	Entity				1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Code (\$)		Fee (\$)	Fee D	escriptio	<u>n</u>	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18	1	9	Claims in excess			1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1201 88 1203 300		44 150	Independent clair Multiple depende			1810	790	2810	395	For each additional invention to be examined (37CFR 1.129(b))	
1204 88	2204	44	** Reissue indep		ims	1801	790	2801	395	Request for Continued Examination (RCE)	
		_	over original p			1802	900	1802	900	Request for expedited examination	
1205 18	2205	9	** Reissue claims and over origin		s of 20	Other f	ee (spe	l cify)		of a design application	
SUBTOTAL (2) (\$) 36.00 *F				•Redu	ced by E	Basic Fi	ling Fee	Paid SUBTOTAL (3) (\$)	555.00		
**or numb	er previou		d, if greater; For R		see above	L					

SUBMITTED BY				(Complete	(if applicable))
Name (Print/Type)	Peter F. Corless	Registration No. (Attorney/Agent)	33,860	Telephone	(617) 439-4444
Signature				Date	November 24, 2004

I hereby certify that this correspon	ndence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV437821570US.
	Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date
shown below.	
1	

Dated: November 24, 2004

Signature: \_ (Peter F. Corless)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV437821570US, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: November 24, 2004 Signature:

(Peter F. Corless)

Docket No.: 56086CON2(71699) (PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Signe E. Varner et al.

Application No.: 10/823,089

Filed: April 12, 2004

For: DEVICES FOR INTRAOCULAR DRUG

DELIVERY

Confirmation No.: 3168

Art Unit: 3761

**Examiner: Not Yet Assigned** 

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

MS Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed June 24, 2004, Applicant respectfully submits the Filing Fee for the Application (as shown on accompanying Fee Transmittal), a Preliminary Amendment, and Part 2 Copy of Notice.

Please charge our Deposit Account No. 04-1105 in the amount of \$986.00 covering the fees set forth in 37 CFR 1.16(e), 1.16(a), and 1.16(c). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this

application by this firm) to our Deposit Account No. 04-1105, under Order No. 56086CON2(71699). A duplicate copy of this paper is enclosed.

Dated: November 24, 2004

Respectfully submitted,

Peter F. Corless

Registration No.: 33,860 EDWARDS & ANGELL, LLP

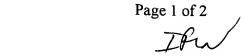
P.O. Box 55874

Boston, Massachusetts 02205

(617) 439-4444

**Attorney for Applicant** 

11-29-04





# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. DOX 1450 Alexandra, Virginia 22313-1450 www.unplu.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/823.089

04/12/2004

Signe Erickson Varner

56086-C2 (71699)

21874 **EDWARDS & ANGELL, LLP** P.O. BOX 55874 **BOSTON, MA 02205** 

11/30/2004 SSESHE1 00000039 041105

10823089

01 FC:2001 02 FC:2202 03 FC:2051 395.00 DA 36.00 DA

65.00 DA



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$1602 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$2502 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$1602

■ \$430 for 5 independent claims over 3.

■ \$882 for 49 total claims over 20.

• \$290 for multiple dependent claim surcharge.

Replies should be mailed to: Mail

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE